

Summary of Progress as at end August 2012.

<u>Audit Title</u>	2012/13	Position as at end August 2012	Opinion
	Days		
Managed Audits (Key Financial Systems)			
Accounts Payable/Purchase Ledger	30	Field work commenced.	
Accounts Receivable/Sales Ledger	30	Field work commenced.	
Asset Management (incl. Asset Register)/Capital Accounting	30	Not yet started	
Council Tax	40	Field work commenced.	
Housing Benefits	45	Not yet started	
Main Accounting Systems (MAS)	25	Not yet started	
National Non Domestic Rates NNDR	40	Field work commenced.	
Payroll	35	Not yet started	
SWIFT Financials	15	Scoping in progress	
Framework i (Childrens Services Case Management System)	15	Scoping in progress	
Treasury Management	25	Not yet started	
Housing Rents	25	Not yet started	
Cash And Banking (Income)	20	Not yet started	
Assurance Audits - Corporate Services			
Partnership Agreements and Joint Working	20	Scoping in progress	
Performance Management (i.e. Data Quality Management & BVPs)	20	Not yet started	
Major Projects	20	Ongoing support provided to SAP optimisation Project	
Assurance Audits - Childrens' Services			
Safeguarding Vulnerable Children	20	Not yet started	
Commissioning/Out of County	20	Draft report issued.	
Schools General - School Improvement	120	To date in 2012-13, 7 reports have been finalised and another 3 issued at draft stage.	
Assurance Audits - Social Care, Health and Housing			
Personalisation/individual Budgets	20	Not yet started	
Commissioning/Contracting	20	Work in progress	
Local Management of establishments (including Day Centres)	20	Scoping in progress	
Financial Management	20	Deferred to Q4.	
Assurance Audits - Sustainable Communities			
Carbon Reduction Commitment (Sustainability)	15	Deferred to 2013/14	
Assurance Audits - Governance			
Ethical Governance	5	Not yet started	
Assurance Audits - Contracts and Partnerships			
Service Level Agreements	15	In progress	
Contracts Management	20	Not yet started	
Assurance Audits - ICT			
Information Security (hosted services)	10	Not required. Work programme for IT audits currently under review.	
SAP Access & Authorisations	15	Not started	
Information Governance	10	Not started	
SAP Access and Security (incl IT DR)	15	Not started	

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Special Investigations			
National Fraud Initiative (NFI)	40	Ongoing.	
Special Investigations	80	Ongoing.	
Pro Active Anti Fraud	20	Work in progress	
Ad Hoc Consultancy etc.			
Annual Governance Statement	5	Work concluded on 11/12 statement.	
Audit of Individual Grants	40	Ongoing. Work in progress on 4 grant claims.	
General Advice	20	Ongoing	n/a
Contingency	92		
Professional Groups	12	On going	n/a
Head of Audit Chargeable Against Plan	58	Ongoing	n/a
Risk Management Activities	18	Ongoing	n/a
Benchmarking Exercise	5	Completed	n/a
Completion of reviews in progress as at 31st March:	130		
Accounts Payable 2011-12 Phase 2		Final report issued	Adequate
Accounts Receivable 2011-12 Phase 2		Final report issued	Adequate
Asset Management 2011-12 Phase 2		Final report issued	Adequate
Cash and Banking 2011-12 Phase 2		Final report issued	Full
Council Tax 2011-12 Phase 2		Final report issued	Adequate
Housing and Council Tax Benefits 2011-12 Phase 2		Final report issued	Adequate
Housing Rents 2011-12 Phase 2		Final report issued	Adequate
Main Accounting System 2011-12 Phase 2		Final report issued	Adequate
NNDR 2011-12 Phase 2		Final report issued	Adequate
Payroll 2011-12 Phase 2		Final report issued	Limited
SAP Access and Security 2011-12		Work in progress	
SWIFT Financials 2011-12		Final report issued	Limited
IT Disaster Recovery 2011-12		Final report issued	Limited
Budget Management		Final report issued	Adequate
Assurance on Client Charging		Report to be drafted	
Local Mgmt of Establishments: Domicillary Care Units		Scoping in progress	
Contract Management		Final report issued	Limited
Proactive Fraud: Appendix E Payments		Report to be drafted	
Derwent Lower School		Final report issued	Limited
Downside Lower School		Final report issued	Limited
Ivel Valley School		Final report issued	Adequate
Kensworth Lower School		Final report issued	Adequate
Linslade Lower School		Final report issued	Adequate
Oakbank School		Final report issued	Limited
Linsell House		Final report issued	Adequate
Section 106 Follow Up		Final report issued	
TOTAL CHARGEABLE DAYS REQUIRED	1300		